

## Index

- AB. *See* WTO Appellate Body
- Acquisition and Maintenance Agreements,  
WIPO, 72, 82
- active pharmaceutical ingredients (APIs),  
484–485
- amendment. *See* Article 71 (Review and  
Amendment)
- Andean Community  
Article 39 and, 536  
compulsory licensing and, 486  
Decision 486, 261  
geographical indications, 314  
Andean Pact notification, 81
- Anell Draft, 432. *See also* Brussels Draft;  
Dunkel Draft  
Article 1, 23  
Article 2, 42  
Article 3, 66  
Article 4, 70  
Article 6, 100–101  
Article 8, 123  
Article 9, 142  
Article 10.1, 153  
Article 10.2, 165  
Article 11, 172, 173  
Article 12, 179  
Article 13, 188  
Article 14, 201, 202, 203  
Article 15 to 21 (trademarks), 223  
Article 22 to 24 (geographical indications),  
282  
Article 25, 326  
Article 26, 327  
Article 27.1, 354, 370  
Article 27.2, 377  
Article 27.3(a), 385  
Article 27.3(b), 391  
Article 28, 416  
Article 29, 450  
Article 30, 432  
Article 31, 464  
Article 32, 417  
Article 33, 417  
Article 34, 497
- Article 35 to 38 (integrated circuits),  
508
- Article 39, 523–524
- Article 40, 544
- Article 63, 638–640
- Article 64, 659–661
- Article 65, 707–708
- Article 66.1, 707–709
- Article 67, 728
- Article 68, 740–742
- Article 69, 728
- Article 70, 754–755
- Article 71, 785–786  
on the preamble  
Objectives, 8  
Preamble, 7  
Principles, 9
- anticompetitive IPR practices. *See*  
competition
- Appellate Body interpretation in “*Havana  
Club*” case, 251–252. *See also* WTO  
jurisprudence
- Argentine laws on Nature and Scope of  
Obligations, 31
- Article 1 (Nature and Scope of Obligations),  
37
- Article 1.1, 29–30  
First sentence, 23  
Second sentence, 24  
Third sentence, 25
- introduction, 17
- national laws, 30  
Argentina, 31  
South Africa, 31  
U.S., 32
- negotiating history  
Anell Draft, 23  
Australian proposal (1989), 22  
Brussels Draft, 23  
Dunkel Draft, 23  
EC proposal (1988), 21  
Indian position in (1989), 22  
Swiss proposal (1988), 22  
U.S. proposal (1987), 21

Article 1 (*cont.*)  
 pre-TRIPS situation  
   “Direct effect”, 20  
   “Give effect”, 18  
   implementation method, determining of, 19  
   legal systems and practice, 20  
   minimum standards and more extensive protections, 18  
 proposals for review, 35  
 regional and bilateral contexts, 33  
 relationship with other international instruments, 30  
 socio-economic implication comments, 35  
 WTO jurisprudence  
   *Canada-Generics* case, 28  
   *India-Mailbox* case, 27  
   *U.S. – Copyright (Homestyle Exemption)* case, 30  
 Article 1(1) (Madrid Convention), 271  
 Article 1(1) (Paris Convention), 386  
 Article 1(3) (Paris Convention), 46, 386  
 Article 1.2, 54  
   Havana Club case, 53  
   Section 1 to 7, 44–45  
 Article 1.3, 47  
 Article 2, 47, 66, 144, 217. *See also* Intellectual Property Conventions  
 Article 2(1) (Berne Convention), 141  
 Article 2(2) (Berne Convention), 147  
 Article 2(3) (Paris Convention), 90, 141  
 Article 2(5) (Berne Convention), 146, 166–167  
 Article 2(7) (Berne Convention), 90, 154, 337  
 Article 2(b), WIPPT, 199  
 Article 2*bis*(2) (Berne Convention), 187  
 Article 2*bis*(3) (Berne Convention), 165  
 Article 2.1, 50  
   Brussels Ministerial Text, 43  
   *Havana Club* case, 52–54  
 Article 2.2  
   definition, 43  
   interpretation in *EC-Bananas* case, 50  
 Article 3 (National Treatment). *See under* national treatment  
 Article 3(3), 181  
 Article 3.2, 75  
 Article 3:2, 690  
 Article 4 (MFN Treatment). *See under* MFN treatment  
 Article 5, 82  
   TRIPS Agreement, 73  
   WCT, 167  
 Article 5(2), IPIC Treaty, 91  
 Article 5(3), 191 (EC Software Directive)  
 Article 5A(2) (Paris Convention), 542  
 Article 5.A.2 (Paris Convention), 482  
 Article 5.A.4 (Paris Convention), 482  
 Article 5.C(1) (Paris Convention), 217, 245

Article 6 (Exhaustion). *See under* Exhaustion of Rights  
 Article 6*bis* (Paris Convention), 49, 215, 217  
 Article 6*quater* (Paris Convention), 217  
 Article 6*quinquies* (Paris Convention), 217, 231, 234  
 Article 6*sexies* (Paris Convention), 217  
 Article 6(1) (Berne Convention), 90, 217  
 Article 6(2) (Washington Treaty), 515  
 Article 6(3) (Paris Convention), 217  
 Article 6.3 (Washington Treaty), 515  
 Article 6.5 (Washington Treaty), 516  
 Article 7 (Objectives), 118  
   Brussels Draft, 124  
   Doha Declaration, 130  
   EC proposal (1988), 120  
   Indian proposal (1989), 121  
   possible interpretations, 125  
   pre-TRIPS situation, 119  
   proposals for review, 132  
   socio-economic implications, 132  
   U.S. proposal (1987), 120  
   WTO Agreements, 130  
   WTO jurisprudence, 128  
 Article 7*bis* (Paris Convention), 217, 276  
 Article 7(1) (Berne Convention), 178, 185  
 Article 7(2) (Berne Convention), 178, 185  
 Article 7(3) (Berne Convention), 179, 185  
 Article 7(4) (Berne Convention), 154, 169, 179, 185  
 Article 7(6) (Berne Convention), 179  
 Article 7(8) (Berne Convention), 90  
 Article 7.1 (Washington Treaty), 517  
 Article 7.1(b) (c) (Rome Convention), 209  
 Article 7.2(b) (Washington Treaty), 517  
 Article 8 (Principles), 118  
   Anell Draft, 122–123  
   Brussels Draft, 124–125  
   Doha Declaration, 130  
   Dunkel Draft, 125  
   possible interpretations, 126  
   pre-TRIPS situation, 119  
   proposals for review, 132  
   socio-economic implications, 132  
   WTO Agreements, 130  
   WTO jurisprudence, 128  
 Article 8.1  
   Brussels Draft, 125  
   *Canada-Generics* case, 28  
   Dunkel Draft, 125  
   possible interpretations, 126  
 Article 8.2, 539, 546. *See also* Article 40  
   Brussels Draft, 125  
   Dunkel Draft, 125  
   possible interpretations, 127  
   requirements for the application of appropriate measures, 550–554  
   scope of application, 547–549

## Index

813

- socio-economic implications, 572
- WTO Agreements, 565
- WTO jurisprudence on, 565
- Article 9, 138, 189
  - Anell Draft, 142
  - Article 9.1, 140–142, 178
  - Article 9.2, 141, 143, 156
  - Brussels Draft, 142
  - definition, 139
  - possible interpretations
    - creativity and originality requirements, 146
    - fixation requirement, 147
    - literary and artistic works, 143
    - official texts, lectures, addresses, 145
  - pre-TRIPS situation, 141
  - relation to Berne Convention, 135
  - socio-economic implications, 150
  - WTO Agreements, 149
  - WTO jurisprudence, 148
- Article 9(2) (Berne Convention), 187–189
- Article 10*bis* (Paris Convention), 49, 158, 271, 525–526
  - Article 10*bis*(1), 187
  - Article 10*bis* (2), 187
- Article 10(1)
  - Berne Convention, 187
  - Paris Convention, 271
- Article 10(2)
  - Berne Convention, 187
  - Paris Convention, 271
- Article 10.1 (Computer Programs and Compilations of Data), 152
  - negotiating history
    - Anell Draft, 153
    - Brussels Draft, 154
  - pre-TRIPS situation, 153
- Article 10.2 (Computer Programs and Compilations of Data), 164
  - Berne Convention, 167
  - negotiating history
    - Anell Draft, 165
    - Brussels Draft, 165, 166
  - possible interpretations, 166
  - pre-TRIPS situation, 165
  - socio-economic implications, 170
  - WCT Article 5 and, 167
- Article 11 (Rental Rights), 138, 171
  - Anell Draft, 172–173
  - Brussels Draft, 174
  - possible interpretations, 174–175
  - pre-TRIPS situation, 172
  - socio-economic implications, 176
  - WCT and, 176
- Article 11(1)(ii) (Berne Convention), 149
- Article 11(1)(iii) (Berne Convention), 149
- Article 12 (Term of Protection), 512
  - Annex 1, 185
  - Article 12(1), 278
  - national laws, 182–183
  - negotiating history
    - Anell Draft, 179
    - Brussels Draft, 180
  - possible interpretations, 181
  - pre-TRIPS situation, 178
  - socio-economic implications, 184
- Article 13 (Limitations and Exceptions)
  - definition and scope, 186
  - negotiating history
    - Anell Draft, 188
    - Brussels Draft, 189
  - possible interpretations, 189, 190
    - conflict with normal exploitation of work, 192
    - unreasonable prejudice to legitimate interests of right holder, 193
  - pre-TRIPS situation, 187
  - socio-economic implications, 196
  - WTO agreements, 195
  - WTO jurisprudence, 194
- Article 13 of Egyptian law, 456
- Article 14 (Related Rights), 49
  - Anell Draft, 201–203
  - Article 14.1 (Rights of performers), 205
  - Article 14.2 (Rights of producers of phonograms and sound recordings), 206
  - Article 14.3 (Rights of broadcasting organizations), 206
  - Article 14.4 (Rental rights), 206
  - Article 14.5 (Term of protection), 207
  - Article 14.6 (Conditions, limitations, exceptions and reservations), 207
  - Brussels Draft, 203–204
  - pre-TRIPS situation, 200
  - socio-economic implications, 212
- Article 15 (Protectable Subject Matter), 214
  - Article 15.1, 228–231
  - Article 15.2, 231–232
  - Article 15.3, 232–234
  - Article 15.4, 234
  - Article 15.5, 235
  - Article 15(1) of Berne Convention, 135
- Article 16 (Rights Conferred), 214
  - Article 16.1, 235–238
  - Article 16.2, 238–240
  - Article 16.3, 240–241
- Article 1609.10–13 of US-Singapore Trade Agreement, 633
- Article 17, 215, 242
- Article 1708 of NAFTA, 264
- Article 1709(5) of NAFTA, 427
- Article 1712 of NAFTA, 313
- Article 18, 215, 244

- Article 19, 102, 215, 244  
 Article 19.1, 245  
 Article 19.2, 245
- Article 20  
 Berne Convention, 158  
 TRIPS, 216, 246
- Article 21 (Licensing and Assignment), 216
- Article 22 (Protection of Geographical Indications), 267  
 Article 22.1, 289, 290  
 Article 22.2, 291  
 Article 22.2 (a), 292  
 Article 22.2(b), 49, 293  
 Article 22.3, 294  
 Article 22.4, 295
- Article 23 (Additional Protection of Geographical Indications for Wines and Spirits), 267  
 Article 23.1, 295–297  
 Article 23.2, 297  
 Article 23.3, 298  
 Article 23.4, 298
- Article 24 (International Negotiations; Exceptions), 268  
 Article 24.1, 299–301  
 Article 24.2, 302  
 Article 24.3, 303  
 Article 24.4, 303  
 Article 24.5, 304  
 Article 24.6, 305  
 Article 24.7, 306  
 Article 24.8, 307  
 Article 24.9, 307
- Article 25 (Industrial Designs, Requirements for Protection), 322  
 Anell Draft, 326  
 Article 25.1, 331, 337–338  
 Article 25.2 (Textile designs), 335  
 Brussels Draft, 327
- Article 26 (Industrial Designs, Protection), 322  
 Anell Draft, 327  
 Article 26.1 (Scope of protection), 336  
 Article 26.2 (Functional designs), 337–339  
 Article 26.3 (Term of protection), 341  
 Brussels Draft, 328
- Article 27, 29, 129, 372
- Article 27.1 (Patentable Subject Matter), 351  
 introduction, 353  
 national laws, 362  
 negotiating history  
 Anell Draft, 354  
 Brussels Draft, 355  
 non-discrimination aspects, 368  
 possible interpretations  
 availability in all fields of technology, 356–357  
 patentability criteria, 358–361  
 pre-TRIPS situation, 353  
 regional and bilateral contexts, 363  
 socio-economic implications, 363–364  
 WTO jurisprudence, 361
- Article 27.1, relationship with Article 30, 129
- Article 27.2 (Patentable Subject Matter). *See also* ordre public and morality  
 national laws, 382  
 possible interpretations, 377–381  
 regional and bilateral contexts, 382  
 socio-economic implications, 382, 383  
 WTO Agreements, 381  
 WTO jurisprudence, 381
- Article 27.3(a) (Patentable Subject Matter), 384  
 Anell Draft, 385  
 Brussels Draft, 385  
 possible interpretations, 385  
 pre-TRIPS situation, 385  
 review proposals, 386  
 socio-economic implications, 387
- Article 27.3(b) [Patentable Subject Matter], 389. *See also* Convention on Biological Diversity; UPOV  
 international instruments  
 COP 7, 408  
 Doha Declaration, 408  
 ITPGRFA, 406, 407  
 national laws, 405  
 negotiating history  
 Anell Draft, 391  
 Brussels Draft, 391  
 possible interpretations, 393  
 micro-organisms, 392  
 plant varieties, 394  
 plants and animals, 391  
 review of, 396  
 pre-TRIPS situation, 390  
 regional and bilateral contexts, 409  
 relationship between TRIPS and CBD, 397  
 review of, 395  
 socio-economic implications, 410–412  
 traditional knowledge protection, 399  
 WTO Agreements, 399
- Article 28 (Rights Conferred), 413. *See also* Article 34 (Process Patents)  
 Article 28.1(a), 414–415, 418–421  
 Article 28.1(b), 414–415  
 definition, 420  
 socio-economic implications, 428
- Article 28.2, 422  
 national laws, 426  
 negotiation history  
 Anell Draft, 416  
 Brussels Draft, 417  
 pre-TRIPS situation, 415  
 WTO jurisprudence, 425
- Article 28, EC Treaty, 95

## Index

815

- Article 29 (Conditions on Patent Applicants), 448
  - Article 29.1, 451
  - international instruments, relationship with, 454
  - national laws, 455
  - negotiating history
    - Anell Draft, 450
    - Brussels Draft, 450
  - possible interpretations, 451–453
  - pre-TRIPS situation, 449
  - regional and bilateral contexts, 456
  - review proposals, 457
  - socio-economic implications, 458–459
- Article 30 (Exceptions to Rights Conferred), 129, 430
  - bilateral and regional contexts, 444
  - conditions of, 432–437
  - exempted acts
    - “early working”, 438
    - individual prescriptions, 438
    - parallel imports, 439
    - prior use, 438
    - research and experimentation, 437
  - national laws, 443
  - negotiating history
    - Anell Draft, 432
    - Brussels Draft, 432
  - pre-TRIPS situation, 431
  - socio-economic implications, 445
  - WTO jurisprudence
    - EC-Canada* case, 439–442
    - United States-Section 110(5) of the U.S. Copyright Act, 442
- Article 30(2), VCLT, 48
- Article 30(2)(b) (Berne Convention), 91
- Article 30(3), VCLT, 48
- Article 30.4(a), VCLT, 258
- Article 30.4(b), VCLT, 258
- Article 31 (Non-Voluntary Uses), 460–462. *See also* compulsory licences
  - Article 31(a), 516
  - Article 31(b), 466
  - Article 31(c), 516
  - Article 31(f), 474, 484–485
  - Article 31(h), 484
  - Article 31(k), 467, 472, 474, 476
  - possible interpretations
    - adequate remuneration, 475, 476
    - anticompetitive practices, remedies for, 479
    - dependent patents, 479–480
    - for domestic market, 474
    - individual merits, 468
    - judicial or distinct higher authority, review by, 477–478
    - non-assignment, 473
    - non-exclusivity, 473
    - scope and duration, 472
    - termination, 474
  - pre-TRIPS situation, 462
  - prior negotiations based interpretation, 468
  - commercial terms and conditions, 469
  - competition law remedy, 472
  - notification, 472
  - reasonable period of time, 470
  - waiver of prior negotiations, 470, 471
  - WTO jurisprudence
    - EC-Canada* case, 480, 481
    - United States – Brazil* case, 481, 482
- Article 31 (VCLT), 691
  - Article 31.2, 692
  - Article 31.3, 693
  - Article 31.4, 693
- Article 314 (NAFTA), 313
- Article 32 (Revocation/Forfeiture), 413–414, 422–423
  - Anell Draft, 417
  - Brussels Draft, 417
- Article 33 (Term of Protection), 413, 415, 424
  - Anell Draft, 417
  - Brussels Draft, 418
  - WTO jurisprudence, 425–426
- Article 34 (Process Patents), 496. *See also* Article 28 (Rights Conferred)
  - Article 34.1, 499
  - Article 34.1(a), 500
  - Article 34.1(b), 501
  - Article 34.2, 497, 501
  - compulsory licensing and, 465–466
  - international instruments, relationship with, 502
  - negotiating history
    - Anell Draft, 497
    - Brussels Draft, 498
  - possible interpretations, 498
  - pre-TRIPS situation, 497
  - socio-economic implications, 503
- Article 34(h), 466
- Article 35 (Relation to the IPIC Treaty), 505
- Article 36 (Scope of the Protection), 505, 510, 513
- Article 37 (Acts Not Requiring the Authorization of the Right Holder), 505, 513
  - Article 37.1, 514
  - Article 37.2, 515
- Article 37(1), 510
- Article 38 (Term of Protection), 506, 516
- Article 39 (Undisclosed Information), 520
  - Article 39.1, 49, 521, 526–527
  - Article 39.2, 521, 528–529
  - Article 39.3, 521, 530–531
  - international instruments, relationship with, 532
  - national laws, 533

- Article 39 (Undisclosed Information) (*cont.*)  
 regional and bilateral contexts, 537  
   Andean Community, 536  
   CAFTA, 536  
   EU, 535  
   NAFTA, 535  
 review proposals, 537  
 socio-economic comments, 537–538  
 WTO jurisprudence, 532
- Article 39.2–3, 49
- Article 40, 539. *See also* Article 8.2  
 covered in Anell Draft, 544  
 procedural rules  
   Article 40.3, 561–563  
   Article 40.4, 564  
 rules of substantive law  
   Article 40.1, 554–558  
   Article 40.2, 550–551, 557–560  
 relationship with Article 8.2,  
   554  
 socio-economic implications, 572  
 WTO Agreements, 565  
 WTO jurisprudence on, 565
- Article 41  
 Article 41.1, 579–581  
 Article 41.2, 582  
 Article 41.3, 583  
 Article 41.4, 584  
 Article 41.5, 584
- Article 42, 585–587, 628
- Article 43  
 Article 43.1, 587–588  
 Article 43.2, 589  
 Brussels Draft, 545
- Article 44  
 Article 44.1, 479–590  
 Article 44.2, 478, 591–592
- Article 45  
 Article 45.1, 592  
 Article 45.2, 593
- Article 46, 594–595
- Article 47, 596
- Article 48, 312  
 Article 48.1, 597–598  
 Article 48.2, 599
- Article 49, 312, 600
- Article 50, 312  
 Article 50.1, 601–604  
 Article 50.2, 604  
 Article 50.3, 605  
 Article 50.4, 606  
 Article 50.5, 606  
 Article 50.6, 606  
 Article 50.7, 607  
 Article 50.8, 608
- Article 51, 138, 608–611
- Article 52, 612
- Article 52(4) (European Patent Convention),  
 386
- Article 53  
 Article 53.1, 613  
 Article 53.2, 613
- Article 54 to 61, 614–620
- Article 62, 155  
 Article 62.1, 621  
 Article 62.2, 622  
 Article 62.3, 623  
 Article 62.4, 624  
 Article 62.5, 624
- Article 63 (Transparency), 637  
 Article 63.1, 640  
   administrative rulings of general  
     application, 642, 643  
   agreements, 643  
   final judicial decisions, 641, 642  
   laws, 641  
   regulations, 641  
 Article 63.2, 644–645, 744  
 Article 63.3, 645–646  
 Article 63.4, 646  
 international instruments, 650  
 socio-economic implications, 650  
 WTO jurisprudence, 647–649
- Article 64 (Dispute Settlement), 651  
 Article 64.1 (Violation complaints),  
 664–668  
 Article 64.2 (Non-violation and situation  
 complaints), 668  
 Article 64.3 (Non-violation and situation  
 complaints), 668  
 Dunkel Draft, 662–664  
 non-violation complaints applicability in  
 TRIPS context  
   Article 64 language interpretation,  
   673–675  
   Doha and Cancun developments,  
   675–677  
 socio-economic implications  
   cross-retaliation issues, 682  
   development-related provisions, 681  
   non-violation complaints, 680–682  
   rule-based DSU system, 686  
   shortcomings and developing countries,  
   687–689  
   WIPO-administered conventions,  
     consequences of, 682  
 WTO Agreements, 677  
 WTO jurisprudence, 677
- Article 65 (Transitional Arrangements), 705,  
 712. *See also* transition periods  
 Article 65.1, 710, 712  
 Article 65.2, 713  
 Article 65.3, 710, 714  
 Article 65.4, 714  
 Article 65.5, 715  
 negotiation history, 706  
 Anell Draft, 707  
 Brussels Draft, 709  
 Dunkel Draft, 711

## Index

817

- Article 66 (Least-Developed Country Members), 705, 725. *See also* Article 65 (Transitional Arrangements)
- Article 66.1, 715
- Anell Draft, 707
- Brussels Draft, 711
- relationship with other international instruments, 717
- Article 66.2, 132, 729
- Council for TRIPS, developments in, 734
- Doha mandate on, 733–734
- possible interpretations, 730
- provision, effect of, 731
- technology transfer and, 737
- Article 67 (Technical Cooperation), 725
- bilateral context, 736
- negotiating history
- Anell Draft, 728
- Brussels Draft, 729
- possible interpretations, 730
- provision, effect of, 731
- socio-economic implications, 736–737
- WIPO-WTO Agreement on, 732–733
- WTO Agreement on, 731
- Article 68 (Council for TRIPS), 739. *See also* Council for TRIPS
- function of council, 744–745
- negotiating history
- Anell Draft, 740–742
- Brussels Draft, 742–743
- Dunkel Draft, 743
- WTO jurisprudence, 748
- Article 69 (International Cooperation), 725
- Anell Draft, 728
- Brussels Draft, 728
- possible interpretations, 729
- provision, effect of, 731
- Article 70 (Protection of Existing Subject Matter), 751
- Article 70.1, 757–758
- Article 70.2, 758–760
- Article 70.3, 761
- Article 70.4, 762
- Article 70.5, 763
- Article 70.6, 763–764
- Article 70.7, 765–766
- Article 70.8, 766
- Article 70.8(a), 27–28, 768–770, 772
- Article 70.8(b), 769, 771–772
- Article 70.8(c), 772
- Article 70.9, 773–775
- definition and scope, 752
- international instruments, relationship with, 778
- national laws, 778
- India 1999 Patents Amendment Act, 779–781
- negotiating history
- Anell Draft, 754–755
- Brussels Draft, 755–756
- Dunkel Draft, 756
- pre-TRIPS situation, 753
- proposals for review, 781
- socio-economic implications, 781
- WTO jurisprudence, 776–778
- Article 70(5), 208
- Article 70.9, 712
- Article 71 (Review and Amendment)
- Article 71.1, 786–790
- Article 71.2, 790–791
- definition
- amendment, 784
- review, 783–784
- Doha Declaration, 792
- negotiating history
- Anell Draft, 785–786
- Brussels Draft, 786
- pre-TRIPS situation, 784
- Berne Convention, 785
- Paris Convention, 785
- socio-economic implications, 793–795
- WTO Agreements, 791
- Article 72 (Reservations)
- definition, 796
- negotiating history
- Brussels Draft, 797
- Dunkel Draft, 797
- possible interpretation, 798
- pre-TRIPS situation, 796
- socio-economic implications, 799–800
- WTO Agreements, 799
- Article 73 (Security Exceptions)
- definition and scope, 801–802
- GATT and WTO jurisprudence, 806–808
- negotiating history, 803
- possible interpretations, 803–805
- pre-TRIPS situation, 802–803
- socio-economic implications, 809
- WTO Agreements, 808
- Article III (GATT 1947), 76
- Article III:4 (GATT 1947), 83
- Article V (GATS), 79, 86
- Article VIII (GATS), 565
- Article IX (GATS), 565
- Article IX (GATT 1947), 272
- Article IX:6, 272–273
- Article X (GATT 1947), 638
- Article X:6 (WTO Agreement), 791
- Article XI (GATT), 256
- Article XII:3(c)(iii) (GATT 1947), 218
- Article XIII (GATT 1947), 806
- Article XIV (GATS), 132
- Article XVIII (GATT 1947), 218
- Article XX (GATT), 12, 149, 218, 381, 378
- Article XX(b)(GATT 1994), 126, 132
- Article XX(d) (GATT 1994), 119
- Article XXI (GATT 1947), 806, 807
- Article XXII (GATT 1994), 651, 660

Article XXIII (GATT 1994), 651, 658, 660, 669, 672  
 Article XXIV (GATT 1994), 69–70, 79, 86  
 Australia and New Zealand laws on Exhaustion of Rights, 110  
 “authorship”, notion of, 135

Bangui Agreement  
 compulsory licensing and, 486  
 geographical indications, 314

Berne Convention, 39, 43, 47, 50, 56. *See also* Intellectual Property Conventions  
 Article 2(1), 141  
 Article 2(2), 147  
 Article 2(3), 90, 141  
 Article 2(5), 146, 166–167  
 Article 2(7), 90, 154, 337  
 Article 6, 61  
 MFN treatment, 79–82  
 national treatment, 73–77  
   exceptions to, 90  
   principles, 66, 67  
 on industrial designs, 330  
 on the Protection of Literary and Artistic Works (1886), 38  
 review and amendment situation, 785

bilateral investment treaties, 736

biopiracy, 366

biotechnological inventions. *See* Article 27.3(b) [Patentable Subject Matter]

BIRPI, 188

“Bolar exception”, 431, 438–439, 443–445

Brazilian proposal (1989) on trademarks, 221

breeders’ rights, 400

broadcasting organizations, 198, 206. *See also* Article 14 (Related Rights)

Brussels Draft. *See also* Anell Draft; Dunkel Draft  
 Article 1, 23  
 Article 2, 43  
 Article 3, 67  
 Article 4, 71–72  
 Article 6, 71, 101  
 Article 7, 124  
 Article 8, 124–125, 545  
 Article 9, 142  
 Article 10.1, 154  
 Article 10.2, 165–166  
 Article 11, 174  
 Article 12, 180  
 Article 13, 189  
 Article 14, 203, 204  
 Article 15 to 21, 226  
 Article 24, 287  
 Article 25, 288, 327  
 Article 26, 288, 328  
 Article 27.1, 355, 370  
 Article 27.2, 377  
 Article 27.3(a), 385

Article 27.3(b), 391  
 Article 28, 417  
 Article 29, 450  
 Article 30, 432  
 Article 31, 465–467  
 Article 32, 417  
 Article 33, 418  
 Article 34, 498  
 Article 35 to 38 (integrated circuits), 509–510  
 Article 39, 525–526  
 Article 43, 545  
 Article 63, 640  
 Article 64, 659  
 Article 65, 710  
 Article 66.1, 711  
 Article 67, 729  
 Article 68, 742–743  
 Article 69, 728  
 Article 70, 755–756  
 Article 71, 786  
 Article 72, 797  
 geographical indications, 285–286  
   Article 24, 287  
   Article 25, 288  
   Article 26, 288  
   on the Preamble, 10

Budapest Treaty, 454

burden of proof, reversal of. *See also* Article 34 (Process Patents)  
 Anell Draft, 497  
 Brussels Draft, 498

CAFTA, 536. *See also* Article 39 (Undisclosed Information)

Canada–Generics case  
 Article 1.1, 28, 29  
 Article 7, 28, 29  
 Article 8, 29  
 Article 17, 243  
 Article 26.2, 339  
 Article 27, 29  
 Article 28, 29  
 Article 30, 339, 432

Canada – Term of patent protection case, 128  
 Article 31, 697  
 Article 33, 424  
 Article 70, 776

Canada proposal (1989) on Exhaustion of Rights, 99

Canada–Patent Protection of Pharmaceutical Products. *See* Canada – Generic case

Community Trade Mark (CTM), 262, 263

Competition  
 Article 8, 545  
 Article 8.2, 546–554  
 Article 40, 544  
   Article 40.1, 554–558  
   Article 40.2, 557–560



## Index

819

- Article 40.3, 561–563
- Article 40.4, 564
  - relationship with Article 8.2, 554
- Article 43, 545
- national laws, 567–568
- negotiating history, 543
  - Anell Draft, 544
  - Brussels Draft, 545
- pre-TRIPS situation, 542
- regional and bilateral contexts
  - EU, 569, 570
  - NAFTA, 571
- socio-economic implications, 571–573
- WTO Agreements on, 565
- WTO on competition, 569
- compilations of data. *See* Article 10.2
  - (Computer Programs and Compilations of Data)
- compulsory licensing, 460. *See also* Article 31
  - (Non-Voluntary Uses); Article 34
    - (Process Patents)
  - definition, 461
  - Doha Declaration
    - Annex I, 489
    - Paragraph 3 to 5, 485–486
    - Paragraph 6, 484–485
    - Paragraph 7 to 10, 485
  - integrated circuits and, 515
  - national laws
    - Canadian, 483
    - Norwegian, 483
  - national proposals, 463
  - negotiating history
    - Anell Draft, 464
    - Brussels Draft, 465–467
    - Dunkel Draft, 467
  - early national proposals, 463, 464
  - Paris Convention
    - Article 5.A.2, 482
    - Article 5.A.4, 482
  - pre-TRIPS situation, 462
  - regional context
    - Andean Community, 486
    - Bangui Agreement, 486
    - FTAA, 486
  - socio-economic implications, 487
- computer programs. *See also* Article 10.1
  - (Computer Programs and Compilations of Data)
  - copyright protection, 155
  - copyright treaties, 158
  - socio-economic implications of, 160
  - U.S. national law, 159
- Convention on Biological Diversity, 56, 86, 398, 403
  - relationship with TRIPS, 397
  - technology transfer and, 735
- COP, 408
- copyright
  - creativity and originality requirements, 146
  - literary and artistic works, 143
  - official texts, lectures, addresses, 145
  - overview of, 135
  - provisions, TRIPS, 139
  - TRIPS Agreement patent rules, 136
  - U.S.– Copyright (Homestyle Exemption)*
    - case, 30
- Copyright Act, 1976 U.S., 154
- Copyright and Related Rights (Article 1.2, Section 1), 44
- copyright approach in industrial designs
  - designs characteristics, 324
  - designs protection, 329
  - industries benefitting from, 346
  - industries disadvantageded under, 346
- copyright grants, 92. *See also* Exhaustion of Rights, Article 6 (Exhaustion)
- copyright law, 136
  - Article 9, 135
  - Article 10.1, 152
  - for computer programs, 159
  - Japanese, 152
  - UK, 152
  - U.S., 152
- copyright protection, 136–137
  - computer programs, 154–155
  - for databases, 164
- Council for TRIPS. *See also* Article 68
  - (Council for TRIPS)
  - actual practices involved
    - compliance review, 747
    - decision making process, 746
    - meetings, 746
  - definition and scope of, 739
  - functions of, 744
    - Article 23.4, 746
    - Article 24.2, 746
    - Article 63.2, 744, 746
    - Article 66.1, 746
    - Article 71, 746
  - pre-TRIPS situation, 740
  - relationship with international
    - instruments, 748
  - socio-economic implications, 749–750
  - WTO Agreements, 748
- creativity and originality requirements. *See under* copyright
- Database Directive, 87–88
- databases, 164. *See also* Article 10.2
  - (Computer Programs and Compilations of Data)
- de facto* discrimination, 63, 67, 76
- de jure* discrimination, 63, 67, 75
- Decision 486 of Andean Group, 261
- design, definition, 322, 323
- Developed Members of WTO, 89

developing country joint proposal (1990),  
trademarks-related aspects, 223

Digital Millennium Copyright Act (DMCA),  
159

“Direct effect”, 20. *See also* “Give effect”

disclosure obligations. *See* Article 29  
(Conditions on Patent Applicants)

discrimination, 67

*de facto*, 63, 76

*de jure*, 63, 75

Dispute Prevention and Settlement, 48

dispute settlement, 651. *See also* Article 64  
(Dispute Settlement); WTO Dispute  
Settlement System

negotiating history

- Anell Draft, 659–661
- Brussels Draft, 661–662
- Dunkel Draft, 662–663

preTRIPS situation, 657

review proposals, 680

system under GATT 1947, 658

WIPO-administered conventions, 677

Dispute Settlement Body (DSB) decisions, 25,  
85

bilateral context, 680

regional context, 678

Dispute Settlement Understanding (DSU)

Annex I, 690

- Article 31, 690–693
- Article 32, 690–691, 693
- conclusion, 703
- introduction, 690–691
- pre-TRIPS situation, 691
- TRIPS Agreement interpretation, 693–695
- WTO jurisprudence, 695–703

Article 3:12, 683

Article 3:2, 690

Article 4:10, 684

Article 8:10, 684

Article 12:10, 684

Article 12:11, 684

Article 21:2, 685

Article 21:7, 685

Article 21:8, 685

Article 24, 685

Article 27:2, 686

Doha Declaration, 14

Article 27.3(b) and, 408

Article 71, 792–793

compulsory licensing and, 484–486

GI review proposals, 316

obligation waiver, 722

paragraph 5 of, 92

paragraph 7

- and mailbox obligation, 719, 720
- and pharmaceutical process patents, 720
- extension, 717, 721
- implementation at domestic level, 721
- regarding Article 7 and 8, 130

*droit de suite* (resale right), 343

Dunkel Draft. *See also* Anell Draft; Brussels  
Draft

- Article 1, 23
- Article 2, 44
- Article 3, 68
- Article 4, 72
- Article 6, 103
- Article 8, 125
- Article 15–21, 228
- Article 22 to 24, 289
- Article 34, 467
- Article 64, 662–664
- Article 65, 711
- Article 68, 743
- Article 70, 756
- Article 72, 797
- on the Preamble, 10

*EC – Bananas* case, 50

*EC – Canada* case (WTO jurisprudence), 371,  
381

- Article 30 interpretation, 439–442
- Article 31 interpretation, 480–481

EC Database Directive, 168–169

EC proposal on

- Article 7 (Objectives), 120
- Exhaustion of Rights (1988), 98
- Exhaustion of Rights (1990), 99
- geographical indications, 279
- MFN treatment, 68
- national and MFN treatment principles, 65
- trademarks-related aspects (1988), 220
- TRIPS guidelines, 5, 21
- EC – Protection of Trademarks and GIs*  
case, 717
- Article 63, 649
- intellectual property rights, enforcement  
of, 629
- geographical indications, 307–309
- most-favored nation treatment, 85
- national treatment, 85
- trademarks, 255

EC Regulation in

- Article 14, 255
- trademarks and GIs protection, 85

EC Rental Right Directive, 172, 177, 200

EC Resale Right Directive, 345

EC Software Directive, 156–157

EC Term of Protection Directive, 182

EC Treaty, Article 28, 95

ECJ

- jurisprudence on exhaustion rights, 95–96
- Parfums Christian Dior* decision, 57

encryption, 159, 160, 162. *See also* Article  
10.1 (Computer Programs and  
Compilations of Data); Article 10.2  
(Computer Programs and  
Compilations of Data)

## Index

821

- enforcement of IPR. *See* IPR, enforcement of  
European Community Design Regulation,  
335
- European Directive on Biotechnological  
Inventions, 409
- European Economic Area (EEA), 114
- European Free Trade Area (EFTA), 114
- European Patent, 363
- European Patent Convention, 386
- European Patent Office, 359–363, 379–380
- exceptions and limitations to copyright. *See*  
Article 13 (Limitations and Exceptions)
- exceptions to national treatment
- Berne Convention, 90
  - IPIC Treaty, 91
  - Paris Convention, 90
- exceptions to rights conferred. *See* Article 30  
(Exceptions to Rights Conferred)
- exclusive marketing rights (EMR), 352, 756
- exclusive rights, 414. *See also* Article 28  
(Rights Conferred)
- Exhaustion of Rights
- Article 6 (Exhaustion)
    - Anell Draft, 100
    - Anell Draft (revised), 101
    - Brussels Draft, 71, 101
    - interpretation, 104
    - introduction, 92
    - of Copyright Treaty, 110
    - WTO Agreements, 109
    - WTO jurisprudence, 108
  - bilateral context, 115
  - national laws
    - Australia and New Zealand, 110
    - developing countries, 111
    - Japan, 111
    - South Africa, 111
    - Switzerland, 112
    - U.S., 112
  - negotiating history
    - Anell Draft, 100–101
    - Brussels Draft, 71, 101
    - Canada proposal (1989), 99
    - Dunkel Draft, 103
    - EC proposal (1988), 98
    - EC proposal (1990), 99
    - U.S. proposal (1987), 98
  - pre-TRIPS situation, 94
  - proposals for review, 116
  - regional context, 114
  - socio-economic comments on, 116
  - WIPO Copyright Treaty (WCT), 109
- Fairness in Music Licensing Act (FIMLA), 194
- “Farmers Rights”, 406–405
- Federal Trade Commission (FTC), 19
- First Trade Marks Directive, 96, 262
- French *droit d’auteur* regime, 344
- FTAA, compulsory licensing and, 486
- functional designs. *See under* industrial  
designs
- GATS, 62
- Article V, 79, 86
  - Article VIII, 565
  - Article IX, 565
  - Article XIV, 132, 133
- GATT
- Agreement on geographical indications,  
310
  - Article III, 66
  - Article XI, 256
  - Article XX, 133, 149, 378
  - Article XXI, 803
  - principles on MFN, 65
- GATT 1994, 12, 13, 63
- Article XX(b) of, 126
  - Article XXII of, 651
  - Article XXIII of, 651, 664
  - Article XXIV, 79, 86
- GATT 1947, 12, 18, 20, 21, 65, 87
- Article III, 76
  - Article III:4, 83
  - Article IX, 272
  - Article X, 638
  - jurisprudence
    - Article 3 under, 73
    - Article 73 under, 804
    - GATT-WTO jurisprudence, 63
    - national treatment principles and, 75
    - law, de facto discrimination in, 76
    - national treatment principles, 66, 75
    - on geographical indications, 272
    - trademarks and, 218
- genetics. *See* Article 27.3(b) [Patentable  
Subject Matter]
- Geneva Act (1999) of the Hague Agreement  
concerning the international  
registration of international designs,  
342, 343
- geographical indications
- administrative schemes, 279
  - Annex I, 319–321
  - appellations of origin and, 276
  - Article 1.2, Section 3, 44
  - Article 22, 267, 289–295
  - Article 22.1, 270
  - Article 23, 267, 295–298
  - Article 24 (International Negotiations;  
Exceptions), 268, 299–307
  - definition, 269
  - negotiating history
    - Anell Draft, 282
    - Brussels Draft (Draft Article 24 to 26),  
285–288
    - developing countries proposal (1989),  
281
    - Dunkel Draft, 289

- geographical indications (*cont.*)  
 EC proposal, 279  
 Swiss proposal (1989), 280  
 U.S. proposal (1990), 281  
 pre-TRIPS situation, 270  
 collective and certification marks, 275  
 GATT 1947, 272  
 Lisbon Agreement, 273  
 Madrid Agreement, 271  
 national and regional rules, 274  
 Paris Convention, 271  
 unfair competition, 275  
 WIPO initiatives, 274  
 protected geographical indications (PGI)  
 Article 12, 278  
 Article 13, 277  
 Article 14, 278  
 protection, 85, 255  
 regional and bilateral context  
 Andean Group, 314  
 Bangui Agreement, 314  
 EU, 311  
 Group of Three Agreement, 314  
 Mercosul/r, 315  
 NAFTA, 313  
 Revised Central American Convention  
 for the Protection of Industrial  
 Property, 315  
 review proposals, 315  
 extension debate, 316  
 Multilateral Register, 316  
 socio-economic implications, 317  
 WTO Agreements, 310  
 WTO jurisprudence, 307–309  
 “Give effect”, 18. *See also* “Direct effect”  
 Group of Three Agreement, 314
- Hague Agreement, 342  
*Havana Club* case, 83–84. *See also* WTO  
 jurisprudence  
 AB’s disagreement with panel, 253  
 AB’s interpretation of  
 Article 15 and 16, 252  
*telle quelle* rule, 251  
 Article 1.2, 45, 52–55  
 Article 2.1, 46, 52–55  
 Article 8, 52  
 Article 27(3)(b), 53  
 EC position, 250  
 factual background, 249  
 intellectual property rights, enforcement  
 of, 625–628
- ICANN, 260  
 India 1999 Patents Amendment Act, 779–781  
*India – Patent Protection* case, 695, 701,  
 776–777. *See also* *Havana Club* case  
 Article 63 interpretation and, 647–649  
*India-Mailbox* case, 27, 28
- India – Patent Protection for Pharmaceutical  
 and Agricultural Chemical Products. See  
 India – Patent Protection case*  
 Indian Patents (Second Amendment) Act,  
 2002, 455  
 Indian proposal (1989) on  
 Article 7 (Objectives), 121  
 TRIPS guidelines, 6, 22  
 “*Indonesia – Cars*” case, 254  
 industrial designs  
 Article 1.2, Section 4, 44  
 Article 25 (Requirements for Protection),  
 322, 331  
 Article 25.1 (Functional designs),  
 337–338  
 Article 25.2 (Textile designs), 335  
 Article 26 (Protection), 322  
 Article 26.1 (Scope of protection), 336  
 Article 26.2 (Functional designs), 337–339  
 Article 26.3 (Term of protection), 341  
 artistic designs and moral rights, including  
 droit de suite (resale right), 343  
 concept of, 329  
 copyright approach, 324, 346  
 design right approach implementation  
 costs, 348  
 European Community Design Regulation,  
 335  
 French *droit d’auteur* regime, 344  
 functional designs  
 Article 25.1, 337–338  
 Article 26.2, 337–339  
 Geneva Act (1999) of the Hague Agreement  
 concerning the international  
 registration of international designs,  
 342–343  
 independently created designs protection,  
 331  
 nature of protection  
 Berne Convention on designs, 330  
 grace period, 334  
 Paris Convention, 331  
*sui generis* design right, 329  
 under EC design regime, 333  
 under UK unregistered design regime,  
 333  
 under U.S. regime, 332  
 negotiating history (Anell Draft)  
 Article 25 (Requirements for Protection),  
 326  
 Article 26 (Protection), 327  
 negotiating history (Brussels Draft)  
 Article 25 (Requirements for Protection),  
 327  
 Article 26 (Protection), 328  
 new or original designs protection, 332  
 ownership of copyright and design  
 protection, 343  
 pre-TRIPS situation, 326

## Index

823

- registration
  - copyright, 334
  - registered *sui generis* design right, 334
  - unregistered *sui generis* design right, 334
- socio-economic implications, 346
- sui generis* design approach, 323, 334, 347–348
- under U.S. copyright law, 339
- utility models, 340
- integrated circuits. *See also* Washington Treaty
  - Article 35, 505
  - Article 36, 505, 513
  - Article 37, 505, 513
    - Article 37.1, 514
    - Article 37.2, 515
  - Article 38, 506, 516
  - definition, 506
  - national laws, 518
  - negotiating history
    - Anell Draft, 508
    - Brussels Draft, 509
    - Washington Treaty, 508
  - possible interpretations
    - compulsory licenses, 515
    - conditions for granting protection, 517
    - covered by IPIC Treaty, 511
    - exceptions, 515
    - exclusive rights, 513
    - exhaustion of rights, 516
    - form of protection, 512
    - national treatment, 512
    - requirement for protection, 511
  - regional and bilateral contexts, 518
  - SCPA enactment (pre-TRIPS situation), 507
  - socio-economic implications, 518, 519
- Intellectual Property Conventions. *See also*
  - Article 2
- international instruments
  - Convention on Biological Diversity (CBD), 56
  - WIPO patent and trademark activities, 57
- introduction, 37
- negotiating history
  - Anell Draft, 42, 43
  - Brussels Draft, 43
  - Dunkel Draft, 44
  - WIPO involvement, 40, 41
- possible interpretations
  - Article 1.2, 44, 45
  - Article 2, 47
- pre-TRIPS situation
  - Berne Convention, 38
  - Paris Convention, 38
- proposals for review, 59
- regional and bilateral contexts, 57
- socio-economic implications comments, 60
- state practice, 51
- WTO Agreements, 55
- WTO jurisprudence
  - EC – Bananas* case, 50
  - Havana Club* case, 52–54
- intellectual property rights. *See* IPR
- international cooperation. *See* Article 69 (International cooperation)
- IPIC Conventions, 49
- IPIC Treaty, 47, 49, 61, 511. *See also*
  - integrated circuits; Washington Treaty Article 5(2), 91
  - Article 6.1 of, 513
  - exceptions to national treatment, 91
- IPR, 19. *See also* Exhaustion of Rights
  - exploitation of
    - Article 8.2 (Principles), 539
    - Article 40, 539
    - definition, 540
  - protection, 18, 35
    - in developing countries, 727
    - MFN standard context of, 75, 77
  - relationship with law of competition, 541
- IPR, enforcement of
  - Article 41
    - Article 41.1, 579–581
    - Article 41.2, 582
    - Article 41.3, 583
    - Article 41.4, 584
    - Article 41.5, 584
  - Article 42, 585–587
  - Article 43
    - Article 43.1, 587–588
    - Article 43.2, 589
  - Article 44
    - Article 44.1, 590
    - Article 44.2, 591–592
  - Article 45
    - Article 45.1, 592
    - Article 45.2, 593
  - Article 46, 594–595
  - Article 47, 596
  - Article 48
    - Article 48.1, 597–598
    - Article 48.2, 599
  - Article 49, 600
  - Article 50
    - Article 50.1, 601–604
    - Article 50.2, 604
    - Article 50.3, 605
    - Article 50.4 to 50.6, 606
    - Article 50.7, 607
    - Article 50.8, 608
  - Article 51, 608–611
  - Article 52, 612
  - Article 53
    - Article 53.1, 613
    - Article 53.2, 613
  - Article 54, 614
  - Article 55, 615

- IPR, enforcement of (*cont.*)
- Article 56 to 57, 616
  - Article 58, 617
  - Article 59, 618
  - Article 60, 619
  - Article 61, 619–620
  - Article 62
    - Article 62.1, 621
    - Article 62.2, 622
    - Article 62.3, 623
    - Article 62.4 to 62.5, 624
  - definition, 575
  - enforcement rules, 577
  - international instruments, relationship with, 629
  - national laws, 630
  - negotiating history
    - EC proposal, 578
    - US proposal, 578–579
  - pre-TRIPS situation, 578
  - regional and bilateral relationships, 631–632
  - scope of, 576
  - socio-economic implications, 634–636
  - WTO jurisprudence, 625–629
- ITC procedure, 84
- ITPGRFA, 406–407
- Japan – Alcoholic Beverages* case, 272, 309
- Japan – Taxes on Alcoholic Beverages*, 690, 698
- Japan – Measures Concerning Sound Recordings* case (Article 70), 778
- Japanese laws on Exhaustion of Rights, 111.  
See also Exhaustion of Rights
- Jazz Photo v. ITC* case, 113
- least-developed country members, 705. See also Article 66 (Least-Developed Country Members)
- licensing and assignment. See Article 21 (Licensing and Assignment)
- limitations and exceptions. See Article 13 (Limitations and Exceptions)
- Lisbon Agreement, 273
- “literary and artistic works”, 143
- “literary” works, 154
- Madrid Agreement, 82, 271
- Mercosul/r addressing of, 81
- geographical indications, 315
  - trademarks, 264
- Methods of interpretation (Annex 1 to Chapter 32)
- Article 31 and 32 of Vienna convention, negotiating history of, 691
  - Canada – Patent* case, 695, 697
  - India – Patent* case, 702
  - Japan – Taxes on Alcoholic Beverages* case, 690, 698
- preTRIPS situation, 691
- TRIPS Agreement interpretation, 693–695
- WTO jurisprudence
- India – Patent Protection* case, 696–697
  - national law, interpretation of, 702–703
  - principle of effectiveness, 696
  - principle of evolutionary interpretation, 700–701
  - teleological interpretation, 701
  - TRIPS Agreement nature, 695
  - treaty terms, context of, 697
  - United States – Gasoline* case, 696
  - WTO Law, reports in, 698–700
- MFN treatment, 62, 63. See also Article 65 (Transitional Arrangements); national treatment
- Acquisition and Maintenance Agreements, 73
- Andean Pact notification, 81
- Anell Draft, 70
- Article 4, 68–73, 78–81, 84, 217, 336
- bilateral context, 88
  - Brussels Draft, 71
  - Dunkel Draft, 72
  - EC – Protection of Trademarks and GIs* case, 85
  - industrial designs protection and, 336
  - international instruments, 86
  - Mercosul/r notification, 81
  - pre-TRIPS situation, 64
  - proposals for review, 88
  - regional context, 87
  - socio-economic comments, 89
  - U.S. and EC positions, 65, 68
  - U.S. NAFTA notification, 81
  - WTO Agreements, 86
- micro-organisms. See under Article 27.3(b) [Patentable Subject Matter]
- NAFTA, 264, 518
- Article 39 and, 535
  - geographical indications, 313
  - IPR relationship with law of competition, 571
- national treatment. See also MFN treatment
- Anell Draft, 66
  - Annex I, 90
  - Article 3, 61, 62, 66–74, 336
  - Berne Convention, 67
  - bilateral context, 88
  - Brussels Draft, 67
  - de facto discrimination, 76
  - de jure discrimination, 75
  - Dunkel Draft, 68
  - exceptions under
    - Berne Convention, 90
    - IPIC Treaty, 91
    - Paris Convention, 90
    - WIPO Conventions, 77

## Index

825

- industrial designs protection and, 336
- no less favourable and equivalent treatment, 74
- possible interpretations, 73
- pre-TRIPS situation, 64
- proposals for review, 88
- regional context, 87
- socio-economic comments, 89
- WTO Agreements, 86
- WTO jurisprudence, 83
- Nature and Scope of Obligations. *See* Article 1 (Nature and Scope of Obligations)
- Nice Agreement, 218
- Nintendo* case, 112
- non-discrimination aspects of Article 27.1
  - international instruments, relationship with, 373
  - introduction, 368
  - negotiating history
    - Anell Draft, 370
    - Brussels Draft, 370
  - new developments, 373
  - possible interpretations, 370
  - pre-TRIPS situation, 369
  - socio-economic implications, 374
  - WTO jurisprudence, 371, 372
- non-violation complaints, 668–672. *See also* situation complaints
- objectives. *See* Article 7 (Objectives)
- obligations. *See* Article 1 (Nature and Scope of Obligations)
- ordre public*, 383, 379
- ordre public and morality. *See also* Article 27.2 (Patentable Subject Matter)
  - definition, 375
  - patent on, 376
  - pre-TRIPS situation, 376
- originality requirements, 146
- Parfums Christian Dior* decision, 57
- Paris Convention, 39, 43, 47–50. *See also* Intellectual Property Conventions
  - Article 1(1), 271
  - Article 1(3), 46, 386
  - Article 2(3), 90
  - Article 3, 74
  - Article 5.A.2, 482
  - Article 5.A.4, 482
  - Article 6*bis*, 49
  - Article 10*bis*, 49
  - compulsory licensing, 482
  - exceptions to national treatment, 90
  - geographical indications protection and, 271
  - MFN treatment principles, 64
  - national and MFN treatment principles, 64, 66
  - on industrial designs, 331
  - on the Protection of Industrial Property (1883), 38
  - review and amendment situation, 785
  - Stockholm Act, 54
  - trademarks and
    - Paris Convention (1883), 217
    - Paris Convention (1967), 61, 67, 214
- Paris Union Joint Recommendations, WIPO and, 260
- patent and trademark activities, WIPO, 57
- patent application, 448. *See also* Article 29 (Conditions on Patent Applicants)
- patentability criteria under Article 27.1
  - “industrial applicability”, 361
  - “inventive step”, 359
  - “novelty”, 359
- patentability inventions. *See also* Article 27.2 (Patentable Subject Matter)
  - Anell Draft, 377
  - Brussels Draft, 377
- Patentable Subject Matter. *See* Article 27.1; Article 27.2; Article 27.3(a); Article 27.3(b)
- patented process. *See* Article 34 (Process Patents)
- patents (Article 1.2, Section 5), 44
- Patents Amendment Act (India, 1999), 779–781
- performers, rights of. *See under* Article 14 (Related Rights)
- PGI. *See under* geographical indications
- phonograms producers, rights of. *See under* Article 14 (Related Rights)
- plants and animals. *See under* Article 27.3(b) [Patentable Subject Matter]
- Portugal v. Council* case, 34
- Preamble, 1
  - Doha Declaration on TRIPS, 14
  - international instruments, relationship with
    - other international instruments, 13
    - WTO Agreements, 13
  - introduction, 2
  - national laws, 14
  - negotiating history
    - Anell Draft, 7
    - EC proposal, 5
    - Indian proposal, 6
    - U.S. proposal, 4
  - possible interpretations, 10
  - pre-TRIPS situation, 2
  - socio-economic comments, 14
  - WTO jurisprudence, 12
- process patents. *See* Article 34 (Process Patents)
- producers of phonograms and sound recordings, rights of. *See under* Article 14 (Related Rights)

protected geographical indications. *See under* geographical indications

Protection of Performers, Producers of Phonograms (Sound Recordings) and Broadcasting Organizations. *See* Article 14 (Related Rights)

Protection of Undisclosed Information (Article 1.2, Section 7), 45. *See also* Article 39 (Undisclosed Information)

registered community design, 330

registered *sui generis* design right, 334

related rights. *See also* Article 14 (Related Rights)

- definition, 199
- protection of, 200

rental rights. *See also* Article 11 (Rental Rights)

- definition, 171
- under Article 14.4, 206

Resale Right Directive, EC, 345

resale royalty right, 344

reservations. *See* Article 72 (Reservations)

reverse engineering, 156, 161

review and amendment. *See* Article 71 (Review and Amendment)

rights conferred. *See* Article 28 (Rights Conferred)

Rome Convention, 47, 50. *See also* Intellectual Property Conventions

- Article 16, 61
- for the Protection of Performers, Producers of Phonograms and Broadcasting Organizations, 43. *See also under* Article 14 (Related Rights)
- MFN treatment, 79

Safety Monitoring Program (SMP), 533–534

Section 337 (U.S. Tariff Act), 76, 84, 703

security exceptions. *See* Article 73 (Security Exceptions)

Semiconductor Chip Protection Act (SCPA), 507

semiconductor industry. *See* integrated circuits

*Shrimp-Turtles* case, 12. *See also* WTO jurisprudence

*Silhouette v. Hartlauer* case, 115, 263

situation complaints, 669, 672. *See also* non-violation complaints

sound recording producers, rights of. *See under* Article 14 (Related Rights)

South African laws on

- Exhaustion of Rights, 111
- Nature and Scope of Obligations (Article 1), 31

Stockholm Act, 54

*sui generis* design approach, 324, 336

design right

registered, 329–330, 334

unregistered, 325, 329–330, 334

essential characteristics of, 325

in industrial designs

- industries benefitting from, 347
- industries disadvantaged under, 348

*sui generis* design law, 323, 341–342

*sui generis* protection, 336, 338, 342

- database protection, 169, 170
- for integrated circuits, 507
- plant variety protection, 45

*sui generis* regime

- and integrated circuits, 518–519
- breeders rights under, 394

Swiss laws on Exhaustion of Rights, 112

Swiss proposal (1988), 22

Swiss proposal (1989), on geographical indications, 280

TEACH Act, 196

Technical Barriers to Trade (TBT Agreement), 310

technical cooperation. *See* Article 67 (Technical Cooperation)

technology transfer, 726. *See also* Article 66 (Least-Developed Country Members)

agreements between

- developed and developing country members, 735
- developing country members, 736

CBD Convention and, 735

pre-TRIPS situation, 727

socio-economic implications, 736

WTO Working Group on Trade and Technology Transfer, 735

*telle quelle* rule, 251

Term of Protection under

- Article 12. *See* Article 12 (Term of Protection)
- Article 14.5, 207

textile designs, 335. *See also* industrial designs

therapeutic, surgical and diagnostic methods. *See* Article 27.3(a) (Patentable Subject Matter)

TNG. *See* TRIPS Negotiating Group

Tokyo Round Codes, 21

Tokyo Round developments, 219

trade secrets, protection of. *See* undisclosed information

trademarks

- activities, WIPO, 57
- Andean Group context, 261
- Anell Draft, 223
- Article 1.2, Section 2, 44
- Article 15 (Protectable Subject Matter), 214, 228
- Article 16 (Rights Conferred), 214, 235
- Article 17 (Exceptions), 215, 242
- Article 18 (Term of Protection), 215, 244
- Article 19 (Requirement of Use), 215, 244



## Index

827

- Article 20 (Other Requirements), 216, 246
- Article 21 (Licensing and Assignment), 216, 247
- Brussels Draft, 226
- definition, 216
- Dunkel Draft, 228
- EC – protection of trademarks and GIs, 255
- European Union context, 261
- international instruments
  - ICANN, 260
  - WIPO and Paris Union Joint Recommendations, 260
- Mercosul/r Council addressing of, 264
- NAFTA addressing of, 264
- national laws, 259
- negotiating history, 218
  - Brazilian proposal (1989), 221
  - developing country joint proposal (1990), 223
  - EC proposal (1988), 220
  - Tokyo Round developments, 219
  - U.S. proposal (1987), 219
- pre-TRIPS situation
  - GATT 1947, 218
  - Nice Agreement, 218
  - Paris Convention, 217
  - regional laws, 218
- protection, EC regulation in, 85
- role of, 217
- socio-economic implications
  - challenges, 265
  - opportunities, 264
- WTO Agreements, 256
- WTO jurisprudence
  - “Indonesia – Cars” case, 254
  - United States – Havana Club* case, 249
- traditional knowledge (TK), 59, 399. *See also* Article 27.3(b) [Patentable Subject Matter]
- transition periods. *See also* Article 65 (Transitional Arrangements); Article 66 (Least-Developed Country Members); Article 70 (Protection of Existing Subject Matter)
  - definition, 706
- Doha Declaration
  - obligation waiver, 722
  - paragraph 7 and mailbox obligation, 719, 720
  - paragraph 7 and pharmaceutical process patents, 720
  - paragraph 7 extension, 717, 721
  - paragraph 7 implementation at domestic level, 721
- negotiating history, 706–712
- pre-TRIPS situation, 706
- socio-economic implications, 724
- WTO jurisprudence, 717
- transparency. *See also under* Article 63 (Transparency)
  - definition, 638
  - negotiating history
    - Anell Draft, 638–640
    - Brussels Draft, 640
  - pre-TRIPS situation, 638
- TRIPS Negotiating Group (TNG), 40, 42, 97, 120–121
- UDRP, 260
- undisclosed information. *See also* Article 39 (Undisclosed Information)
  - definition, 520
  - negotiating history
    - Anell Draft, 523–524
    - Brussels Draft, 525–526
  - developing countries proposal, 522
  - EC proposal, 523
  - U.S. proposal, 522–523
  - pre-TRIPS situation, 522
  - regional and bilateral contexts, 535–537
  - WIPO model provision (Article 6), 534
- Union for the Protection of New Varieties of Plants. *See* UPOV
- United States – Brazil* case
  - Article 27.1, non-discrimination aspects of, 372
  - Article 31, 481–482
- United States laws on
  - Exhaustion of Rights, 112
  - Nature and Scope of Obligations (Article 1), 32
- United States – Restrictions on Imports of Cotton and Man-made Fibre Underwear* case (Article 63), 649
- United States v. Sweden and United States v. Argentina cases (intellectual property rights, enforcement of), 628
- unregistered community design, 330
- unregistered design regime, UK, 333
- unregistered *sui generis* design right, 334
- UPOV, 400–402
- UPOV Convention
  - Article 14(1)(a), 401
  - Article 14(5), 401
- Uruguay Round Agreements Act (URAA) (United States), 32–33
- Uruguay Round negotiations, 2–4
- U.S. – Copyright (Homestyle Exemption)* case, 30. *See also* WTO jurisprudence
- U.S. Copyright Act, 30
- U.S. copyright law for industrial designs, 339
- U.S. NAFTA notification, 81
- U.S. proposal on, 4, 21
  - Article 7 (Objectives), 120
  - Exhaustion of Rights, 98
  - geographical indications, 281
  - MFN treatment, 68
  - trademark-related aspects, 219

U.S. – *Section 110(5) of the Copyright Act*, 148, 442

U.S. – *Section 211 Omnibus Appropriations Act of 1998*. *See Havana Club case*

U.S.-Singapore Trade Agreement  
 Article 1609.14 to 1609.20, 633  
 Article 1609.21(a) to 1609.21(e), 634  
 Article 1609.3 to 1609.9, 632–633

VCLT. *See also* Intellectual Property Conventions  
 Article 26, 17  
 Article 30(2), 48  
 Article 30(3), 48  
 Article 31, 2, 54, 691–693, 696, 697  
 Article 32, 2, 691, 693  
 rule on state practice, 51

Washington Treaty, 507–508. *See also* integrated circuits  
 Article 2(i), 511  
 Article 2(ii), 511  
 Article 3.1(b), 514  
 Article 3.1.c, 511  
 Article 3.2(a), 511  
 Article 5, 512  
 Article 5(2), 513  
 Article 6(2), 515  
 Article 6(4), 514  
 Article 6.2(a) to 6.2(c), 515  
 Article 6.3, 515  
 Article 6.5, 516  
 Article 7.1, 517  
 Article 7.2(b), 517  
 under *sui generis* regime, 512

WCT, 55, 56, 110, 150, 158  
 and rental rights, 176  
 Article 5, 167  
 on Exhaustion of Rights, 109

Wines and Spirits. *See* Article 23 (Additional Protection of Geographical Indications for Wines and Spirits)

WIPO, 3  
 Acquisition and Maintenance Agreements, 72, 82  
 and Paris Union Joint Recommendations, 260  
 Convention, 19–21, 51, 74  
 administered conventions on dispute settlement, 675  
 exceptions from national treatment under, 77  
 initiatives on geographical indications, 274  
 Intellectual Property Conventions and, 40–41  
 model provision for undisclosed information (Article 6), 534  
 Patent Agenda, 362  
 patent and trademark activities, 57

WTO agreement on technical cooperation, 732–733

WPPT, 79, 158, 199  
 Article 2(c), 77  
 Exhaustion of Rights and, 109

WTO Appellate Body, 25. *See also* WTO jurisprudence  
*Havana Club case*, 52, 83  
*India-Mailbox case*, 27  
*Shrimp-Turtles case*, 12

WTO Dispute Settlement System  
 general observations concerning, 651  
 procedure overview  
 appellate phase, 654  
 consultations, 652  
 DSB decisions implementation, 654  
 non-compliance case, 655  
 panel phase, 652–653  
 procedure scope, 655

WTO jurisprudence, 148  
 Articles interpretation  
 Article 1.1, 28–30  
 Article 1.2, 52  
 Article 2.1, 52  
 Article 2.2, 50  
 Article 6 interpretation and, 108  
 Article 8.2, 565  
 Article 12 (EC Council Regulation), 85  
 Article 13, 194  
 Article 15, 252  
 Article 16, 252  
 Article 21.1, 308  
 Article 21.2, 308  
 Article 27.1, 371, 480  
 Article 27.2, 381  
 Article 28, 425  
 Article 30, 128, 439  
 Article 31, 481  
 Article 33, 425–426, 776  
 Article 39, 532  
 Article 40, 565  
 Article 63, 647–649  
 Article 68, 748  
 Article 70, 776, 778  
 Article 70.1, 776  
 Article 70.2, 776  
 Article 70.8(a), 27–28  
 Article 73, 806

*Canada – Generics case*, 28–29, 128  
*Canada – Term of Patent Protection case* (Article 70), 776  
*EC – Bananas case*, 50  
*EC – Canada case*, 371, 381, 480–481  
*EC – Protection of Trademarks and GIs case*  
 Article 63, 649  
 intellectual property rights, enforcement of, 629  
 geographical indications, 307–309  
 most-favored nation treatment, 85

## Index

829

- national treatment, 85
- trademarks, 255
- transitional periods, 717
- Havana Club* case, 52, 83, 249, 625–628
- India-Mailbox* case, 27
- India – Patent Protection* case, 647–649, 776–778
- Indonesia – Cars* case, 254
- Japan – Measures Concerning Sound Recordings* case, 778
- on geographical indications, 307–309
- Shrimp-Turtles* case, 12
- under DSU's Annex I. *See* Methods of interpretation (Annex 1 to Chapter 32)
- United States – Brazil* case, 372, 481–482
- United States – Restrictions on Imports of Cotton and Man-made Fibre Underwear* case, 649
- United States v. Sweden and Unites States v. Argentina* case, 628
- U.S. – Copyright (Homestyle Exemption)* case, 30